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1 The Journal of Allergy and Clinical Immunology: In Practice

2 **ASTHMA ACROSS THE AGES: ADULTS**

3

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36

37

38 **Abbreviations:**

39 ACT, Asthma control test

40 AERD, aspirin exacerbated respiratory disease

41 APOE $\epsilon$ 4, Apolipoprotein E

42 ATS, American Thoracic society

43 BHR, bronchial hyperresponsiveness

44 BMI, body mass index

45 CI, confidence interval

46 COPD, chronic obstructive pulmonary disease

47 CRP, C-reactive protein

48 CVD, cardiovascular disease

49 ECRHS, European Community Respiratory Health Survey

50 EOA, early onset asthma

51 FeNO, fractional exhaled nitric oxide

52 FEV1, forced expiratory volume in 1 second

53 FVC, forced vital capacity

54 ICS, inhaled corticosteroid

55 IL-5, interleukin-5

56 IL-6, interleukin-6

57 IL-8, interleukin-8

58 IL-1 $\beta$ , interleukin-1beta

59 IFN- $\gamma$ , interferon gamma

60 ICS, inhaled corticosteroids

- 61 LDL, low density lipoprotein
- 62 OR, odds ratio
- 63 RHINE, Respiratory Health in Northern Europe study
- 64 RNA, ribonucleic acid
- 65 SARP, Severe Asthma Research Program
- 66 SD, standard deviation
- 67 T2, Type 2
- 68 TNF- $\alpha$ , tumour necrosis factor alpha
- 69
- 70

**71 ABSTRACT**

72 Asthma is a common disease affecting approximately 300 million people worldwide,  
73 across all age ranges. Despite advances in asthma outcomes of the last few decades,  
74 there remains room for improvement in asthma management and for patient outcomes,  
75 particularly in older patients. The heterogeneity of asthma is now well recognized, and is  
76 known to complicate response to treatment, patient behavior and impact health  
77 outcomes. Asthma and its heterogeneity change according to age. Asthma affects people  
78 differently across the lifespan. In adults, prevalence is highest among those in middle  
79 age, however mortality is greater in the older age group. In this clinical commentary, we  
80 describe how age impacts asthma prevalence and incidence, outcomes, disease  
81 expression and approach to management in adulthood and in older patients.

82

## 83 INTRODUCTION

84 Asthma is highly prevalent, and can affect people at all stages of life, from infancy through  
85 childhood and adulthood, and into older ages (> 66 years old) (1, 2). Although asthma  
86 can cause a negative impact upon a patient's quality of life through loss of work,  
87 interruption of daily life activities, emergency room visits and hospitalizations, and  
88 potentially death in some, in particular older patients, it is treatable (3, 4). However, there  
89 are important differences in the underlying pathophysiology and consequently,  
90 presentation of asthma through younger (20-40 years of age) and middle (41-65 years of  
91 age) adulthood and in the aged (>66 years of age, "older adults") that must be recognized  
92 to decrease its associated morbidity and mortality (Table 1). This article describes our  
93 understanding of how aging impacts the epidemiology, exacerbation risk, phenotypes  
94 and diagnostic approach to asthma. Key elements include modification of the host  
95 response by age, different exposures that might occur at different stages of life, and  
96 different comorbidities that may emerge and impact asthma at different ages. This  
97 manuscript does not focus upon the effect of aging on the response to asthma therapies,  
98 which is reviewed elsewhere (1, 5).

99

## 100 EPIDEMIOLOGY

### 101 Prevalence

102 Asthma prevalence is highest in those 45-64 years of age (Figure 1) (6, 7). There are also  
103 significant differences of asthma prevalence with gender across ages. In Australia,

104 among children (aged 0–14 years), the prevalence was higher for males than females,  
105 but among those >15 years old, current asthma was more prevalent in females (10.9%)  
106 than in males (8.9%). Among males, the highest prevalence was in those aged 5–9 years  
107 (15.1%, CI: 11.0–19.3%), while among females it was highest in those aged >75 years  
108 (13.4%, CI: 10.3–16.5%) (7). These trends are similar across nations (1, 6, 8).

109

## 110 **Incidence**

111 Although asthma is often considered a disease of childhood onset, it can develop in  
112 adulthood, even after the age of 65 years (8). The incidence of asthma in adulthood over  
113 a 10-year period was evaluated using data from prospective cohorts, involving eight  
114 countries across Europe, and a total population of 23,704 participants. In these studies,  
115 asthma incidence varied from 2.9/1,000/year to 8.3/1,000/year (9, 10). The risks for new-  
116 onset adult asthma were (asymptomatic) bronchial hyperresponsiveness (BHR), allergic  
117 rhinitis (twice as common among participants with incident asthma compared with those  
118 without asthma), female gender, respiratory infections in early life, aspirin-exacerbated  
119 respiratory disease (AERD), high-risk occupations (less likely in those >65 years of age),  
120 environmental pollutants, stressful life events and obesity (11, 12). Although new onset  
121 allergic sensitization precipitating asthma is more common in younger adults, it can occur  
122 in the aged (12-16).

123

## 124 **Asthma remission in adulthood**

125 Asthma can also go into remission in adult life. Westerhof reported that one in 6 patients  
126 with adult-onset asthma experience a remission of his or her asthma within the first  
127 5 years of disease onset (17). The Respiratory Health in Northern Europe (RHINE) study  
128 reported an overall remission rate of 20.2 per 1,000 person-years among adults aged 26–  
129 53 years, meaning that approximately 20% of people experienced a clinical remission of  
130 asthma during a 10-year period (18). Similarly, a Swedish study reported a 10-year  
131 remission rate of asthma of 14.6% among adults aged 20–69 years (19), and Sozener  
132 reported remission in 11.3% of adults (20).

133  
134 Younger age, younger age of asthma onset, atopy, allergic rhinitis, and fewer  
135 comorbidities are linked to a greater possibility of remission (20). Patients  
136 with asthma persistence tend to be older, have worse asthma control, require higher  
137 doses of inhaled corticosteroids (ICS), have more severe BHR, higher frequency of nasal  
138 polyps, and higher levels of blood neutrophils as compared to patients who experience  
139 clinical remission. In patients with moderate to severe BHR and nasal polyposis, the  
140 chance of remission is close to zero (17, 21, 22).

141

## 142 **ASTHMA MORBIDITY AND EXACERBATION RISK THROUGH THE AGES**

### 143 **Asthma morbidity/mortality through the ages**

144 Older adults have high rates of asthma morbidity and mortality when compared to  
145 younger adults (3, 4, 6). Adults >65 years of age presenting to the emergency department  
146 for asthma had the highest rate of hospitalization (~25% admission rate compared to

147 7.9% for all ages) and the longest length of stay (24-26)(3, 4, 6). These poorer outcomes  
148 in the aged are likely multifactorial and include alteration in lung structure, increased  
149 presence of co-morbidities, cognition (discussed below), and alteration of inflammation  
150 with aging (discussed below).

151

### 152 **Exacerbation risk across the ages**

153 Asthma exacerbations are responsible for a significant disease burden across adult life.  
154 There are changes across the age spectrum in the rate and pattern of asthma  
155 exacerbations, with the highest rate seen in the oldest age group. In a large population-  
156 based study in the UK, the rate of exacerbations was highest in the oldest cohort and  
157 lowest in the 5 to 17 years cohort. The exacerbation rate per 10 person-years (95% CI)  
158 in adults aged 18 to 54 was 3.22 (3.21 to 3.24), and in adults 55 years and older was 9.40  
159 (9.37 to 9.42). Asthma severity was a common risk factor for asthma exacerbations  
160 across the different age ranges. The frequent-exacerbator phenotype was present in 2%  
161 of adults with asthma (23, 24).

162

### 163 **ASTHMA PHENOTYPES ACROSS ADULTHOOD**

164 Data from large multicenter studies including the Severe Asthma Research Program  
165 (SARP) and the Leicester study (UK) have provided important insights into young and  
166 middle-aged adult asthma phenotypes (25, 26). Although not designed to target older  
167 populations, these studies included some participants up to 80 years old. A smaller cross-  
168 sectional cluster study (Detroit, Michigan), recruiting patients (mean age 65.9 years) with  
169 asthma has also provided phenotypic data in the aged (27). Despite differences in cohorts

170 studied, methodology, and numbers of clusters identified, these studies have suggested  
171 that adult asthma can be broadly categorized into early-onset (generally prior to 12 years  
172 of age) or adult-onset.

173

#### 174 **Early-onset asthma (EOA)**

175 Early-onset asthma is primarily differentiated into mild allergic and moderate-severe  
176 allergic EOA based upon lung function, dosage of controller medications, health care use  
177 for asthma and the need for oral corticosteroid bursts (28). Those subjects in SARP with  
178 milder disease were predominantly female and had a BMI 23.6-28kg/m<sup>2</sup> (25). These  
179 patients tended to have eosinophilic asthma, although the mild allergic UK cohort also  
180 had a higher sputum neutrophil count (26). Data characterizing patients with moderate-  
181 severe EOA is less consistent. In SARP, the subjects were more likely to be black males  
182 with a slightly earlier age of asthma onset, and a higher BMI (31kg/m<sup>2</sup>); despite a baseline  
183 FEV1 of 57% predicted, they had good airway reversibility (25). In the UK data, subjects  
184 were more likely to be female with a lower BMI ~27.6kg/m<sup>2</sup> (26). This group had higher  
185 sputum eosinophils than the milder EOA.

186

187 The Detroit study identified 2 clusters of older patients with EOA; both with high rates of  
188 atopic sensitization (74% and 68%) (27). The first had a slightly younger age of asthma  
189 onset, a pre-bronchodilator FEV1 of 69.8% predicted, with relatively high rates of fixed  
190 airway obstruction (45.7%), and poor disease control (Asthma Control Test [ACT]=17.5).  
191 The second was more severe, with a pre-bronchodilator FEV1=37.8% predicted, and  
192 even higher rates of fixed airway obstruction (72%) and poorer asthma control

193 (ACT=14.7). Importantly, asthma control in the overall population was low (ACT=17.5)  
194 (27). Whether the two groups of early-onset asthma identified in the older population  
195 persist from those identified in younger adults requires further research.

196

### 197 **Adult-onset asthma**

198 Adult-onset asthma can be loosely sub-divided into long-standing asthma developing in  
199 the late teens or early 20s, and later onset asthma beginning >40 years of age. A  
200 consistent finding of adult-onset asthma is a lower rate of atopy, and a higher BMI and  
201 frequency of co-morbidities compared to adults with EOA (15). In SARP, those developing  
202 asthma ~42 years of age were mainly females (25). Despite a shorter asthma duration,  
203 they had high rates of health care utilization for asthma including oral corticosteroid  
204 bursts, which were out of proportion compared to their degree of airflow obstruction. In  
205 the UK study however, these subjects with later onset asthma were more likely to be  
206 male, have a lower BMI (27 kg/m<sup>2</sup>), but they also had high uses of health care resources  
207 for asthma (26). This cluster tends to be eosinophil predominant, with higher numbers  
208 than with EOA (29). Amelink also reported that in middle-age adult patients with late onset  
209 asthma (~41 years of age), those with more severe disease had more nasal symptoms  
210 and nasal polyposis, higher blood neutrophil counts and higher sputum eosinophilia than  
211 those with less severe disease (29).

212

213 The Detroit aging cohort identified two groups of adult-onset asthma; one with a later  
214 onset and the other with longer-standing disease (27). The group with later onset (i.e.,  
215 shorter duration of disease) had had a lower FEV<sub>1</sub>, higher rates of fixed obstruction

216 (23%), and with a high BMI (32.0 kg/m<sup>2</sup>). The longer standing group had a higher FEV1%,  
217 and no fixed airway obstruction, and a lower BMI. Data on sputum analysis was not  
218 captured.

219

### 220 **Progression to COPD/severe asthma**

221 The co-existence of asthma and COPD is common, particularly in older populations.  
222 Estimates suggest that this overlap occurs in ~20% of patients with airway disease (30),  
223 with a higher prevalence in those >50 years old. In a New Zealand population-based  
224 survey, a subgroup of 469 participants >50 years of age underwent complete pulmonary  
225 function testing; 96 (20.5%) were defined as having COPD, and 53/96 (55%) exhibited  
226 features of both asthma and COPD (31).

227

228 Whilst exposure to noxious particles is recognized as the most important risk-factor for  
229 the development of COPD, there are other factors. Childhood asthma itself increases the  
230 susceptibility to COPD. In a longitudinal analysis of the European Community Respiratory  
231 Heath Survey (ECRHS) I and II cohorts both childhood asthma (men OR=10.48 [6.10 to  
232 18.03], p= 0.001, women OR=3.74 [1.55 to 9.02], p=0.003) and paternal asthma were  
233 associated with COPD development. The risk increased with the number of childhood  
234 disadvantage factors such as maternal asthma, paternal asthma, childhood asthma,  
235 respiratory infections and maternal smoking. The OR was 1.7 (95% CI 1.1 to 2.6) and 1.6  
236 (95% CI 1.01 to 2.6) for males and females respectively when one childhood

237 disadvantage factor was present. This increased to an OR of 6.3 (95% CI 2.4 to 17) for  
238 men and 7.2 (95% CI 2.8 to 19) for women when  $\geq 3$  factors were present (32).

239

## 240 **IMPACT OF AGING ON INFLAMMATION**

241 With increasing age, there are potential alterations of aging on the innate and adaptive  
242 immune responses which can occur simultaneously. “Immunosenescence,” is a “blunted”  
243 response after a pathogenic threat or tissue injury. Despite an inability to proliferate, some  
244 senescent cells remain alive, functioning at an altered capacity. This results in  
245 “inflammaging,” an increased low-grade basal systemic inflammation (e.g., IL1- $\beta$ , IL-6  
246 and TNF- $\alpha$ ) in the absence of an overt infection (33). These immune alterations with  
247 aging must be taken into account when measuring inflammatory markers in older adults  
248 with asthma. For example, there is an age-related increase in sputum neutrophil counts,  
249 regardless of the presence of airway disease (34-36). In adults over the age of 20 years,  
250 there appears to be an increase in neutrophil percentage of 0.46% per year (37).

251

252 Induced sputum represents a gold standard test for the measurement of airway  
253 inflammation, although it is mainly a research tool. The inflammatory asthma phenotypes  
254 and endotypes in older patients have not been as well characterized as in younger adults.  
255 However there is emerging evidence that there may be some important inflammatory  
256 subsets of older patients with asthma. A T2-ultra high group (diagnosed by elevated  
257 sputum RNA-seq) was more prevalent in older adults with asthma. These patients have  
258 high levels of sputum eosinophils and neutrophils, elevated FENO, elevated blood

259 eosinophils (470 cells/ $\mu$ L), low IgE, and respond poorly to corticosteroids (38, 39).  
260 Additionally, there appears to be another subset of older patients with asthma with a  
261 mixed T1/T2 component, characterized by elevated sputum neutrophils and eosinophils,  
262 IL-5, IL-6, IL-8, which was not secondary to the effect of aging (34). Increased sputum  
263 neutrophils and IL-6 in the older population was related to decreased asthma control and  
264 increased use of health care resources for asthma. There is strong data in studies with  
265 patients (~58 years old) with asthma that neutrophilic asthma is associated with increased  
266 systemic inflammation, identified by increased CRP (40, 41). Systemic IL-6 is also  
267 associated with poorer asthma outcomes (decreased FEV1 and increased  
268 exacerbations) (42). In another study comparing asthma, COPD and asthma/COPD  
269 overlap patients >55 years old, no statistical differences were seen between sputum  
270 neutrophil and eosinophil counts, or serum IL-6 or hsCRP (43). Therefore some older  
271 patients with asthma likely have an important systemic inflammatory component of their  
272 disease, much like COPD. These similarities also highlight the additional complexities for  
273 older people with asthma. The changes in inflammation with aging and obstructive lung  
274 disease may be associated with an increased risk of ICS treatment failure with aging,  
275 although this requires additional study (44).

276

## 277 **IMPACT OF GENDER AND AGING**

278 The relationship between sex hormones, aging and asthma are complex and can impact  
279 both early onset and late onset asthma. Menopause may have a protective role in early-  
280 onset asthma. Asthma exacerbations are reported in relation to the menstrual cycle,  
281 typically in the week preceding the onset of menstruation. The probability of having severe

282 asthma is higher in males after age 45, but not in females of the same age (45, 46). In  
283 the National Inpatient Samples 2011-2012, the risk of asthma-related respiratory failure  
284 continued to rise in men after 60, but not in females (46). The underlying mechanisms of  
285 these differences are likely multifactorial and include gender differences in asthma  
286 symptom perceptions or health-seeking behaviors (47, 48). However, new onset asthma  
287 during or after menopause is more likely to be severe and less responsive to anti-  
288 inflammatory treatment (49).

289

## 290 **Pregnancy**

291 Pregnancy leads to poor asthma control in one third of women (50), increasing the risk of  
292 acute attacks (51-54), which may lead to adverse fetal outcomes (51-54). Optimized  
293 management of asthma during pregnancy, through appropriate pharmacotherapy and  
294 self-management education leads to improved health outcomes for the offspring (51, 52).  
295 A personalized approach to managing asthma therapy in pregnancy based on a FeNO  
296 guided treatment algorithm compared to symptom-based management, led to a 50%  
297 reduction in acute attacks during pregnancy. Additionally, the incidence rate ratio of  
298 doctor-diagnosis asthma for the offspring of women treated with a FeNO protocol  
299 compared to symptom-based alone, was significantly lower in the former ( $p=0.04$ )  
300 potentially contributing to a primary prevention strategy for reducing the incidence of  
301 childhood onset asthma (55).

302

## 303 **CONFOUNDING FACTORS ACROSS ADULTHOOD**

304 There are several important co-morbidities, or “treatable traits,” often associated with  
305 aging, which increase rates of asthma hospitalizations and emergency room visits (56,  
306 57). McDonald et al reported a cross-sectional study involving 100 patients (recruited from  
307 a tertiary care respiratory clinic) with obstructive airway diseases >55 years of age,  
308 recruited from a tertiary care respiratory clinic, who underwent a multidimensional  
309 assessment to characterize their treatable traits. Those with asthma expressed a mean  
310 (SD) of 10.3 (1.9) treatable traits; not different from those with COPD (11.3 (2.8)) and  
311 asthma/COPD overlap (43). Subjects undergoing multi-dimensional treatment of their  
312 traits, compared to those receiving usual care, had improved asthma outcomes and  
313 measures of inflammation (e.g., sputum, systemic) (58). Consequently, a comprehensive  
314 and multi-disciplinary approach is necessary for middle-aged and older patients with  
315 asthma.

316

### 317 **Cardiovascular**

318 Cardiovascular diseases (CVD) are more prevalent in older than younger adults. The  
319 presence of CVD worsens asthma outcomes and is more prevalent in patients with  
320 asthma, in particular those with severe airway disease (59-61). Conversely, recent  
321 studies have suggested that asthma (including late-onset disease) particularly if  
322 uncontrolled (62), is an independent risk factor for new-onset CVD (63-65). A decreasing  
323 FEV1, may predict future CVD, especially in patients with known obstructive lung disease  
324 (66).

325

326 There are potential mechanisms to explain the link between asthma and CVD. Increasing  
327 systemic IL-6 is associated with the presence of CVD with poorer outcomes (67-69). A  
328 subset of older patients with asthma appear to have a strong IL-6 component (34).  
329 Frequent oral corticosteroid use can also worsen CVD. A recent study suggested that in  
330 adults >70 years, who had the Apolipoprotein E (APOE $\epsilon$ 4) allele (associated with CVD  
331 and atherosclerosis (70), had a greater decline in FEV1 and FEV1/FVC (71). APOE  
332 deficient antigen sensitized and challenged mice developed airway hyperresponsiveness  
333 and mucous cell metaplasia (72, 73). The APOE $\epsilon$ 4 allele is associated with an increased  
334 innate response, thereby altering systemic and potentially airway inflammation (72, 74).  
335 Moreover, eosinophils promote thrombus growth and are able to be activated by oxidized  
336 LDL to activate macrophages from M2 to M1 (71).

337

### 338 **Obesity**

339 Older persons with asthma, compared to aged matched without asthma, are more likely  
340 to be obese, with increased abdominal adipose tissue (75, 76). The relationship between  
341 obesity, aging and asthma outcomes is likely multifactorial. Two studies of older patients  
342 with asthma reported that with increased BMI, there was a significant loss of asthma  
343 control (77, 78). However, when analysis was adjusted for depression and lower income  
344 in one of the studies, the relationship between obesity and asthma outcomes was lost,  
345 suggesting a complex relationship between these factors in the aged (78).

346

347 There are many reasons why obese patients with asthma have poorer outcomes;  
348 however, whether aging affects these mechanisms is not clear. Obesity is associated

349 with increased systemic T1 inflammation (i.e., TNF- $\alpha$ , IL-1 $\beta$ , IL-6), released from activated  
350 adipocytes and adipose tissue-resident cells, which “spill over” into the lungs (79, 80).  
351 Elevated sputum NLRP3, IL-1 $\beta$  and neutrophils are increased in obese patients with  
352 asthma, and after consumption of saturated fatty acids (80, 81). The relationship between  
353 obesity and neutrophilic asthma in females, may only be important for patients under <50  
354 years of age, suggesting that sex hormones are an important component with obesity  
355 (82).

356

### 357 **Physical inactivity**

358 Physical inactivity is an important modifiable risk-factor associated with poor outcomes in  
359 the general population, including increased mortality (83). Physical inactivity is observed  
360 at higher rates in asthma compared to non-asthma control populations. The populations  
361 at higher risk of physical inactivity in asthma are females and older people (84). This is  
362 important as increased physical activity in asthma is associated with improved outcomes.  
363 In a cross-sectional study that evaluated physical activity in severe asthma, median age  
364 59 (range 43 to 68), steps per day were strongly and independently associated with better  
365 exercise capacity (coefficient, 0.0169; 95% CI, 0.008-0.025; P < .001) (85). A systematic  
366 review reported in patients with asthma over different severities, similar results (84).  
367 Improving physical activity in asthma, and particularly in older people with asthma is a  
368 priority.

369

### 370 **Depression**

371 Depression is highly prevalent in female patients with asthma, particularly in the aged  
372 (57, 75, 86, 87). The impact of depression on asthma morbidity is substantial in this age  
373 group, associated with poor asthma control, more severe airway obstruction, poorer  
374 quality of life, and increased health care utilization (87-89). There are several  
375 mechanisms by which depression can exacerbate asthma, and whether these  
376 mechanisms differ with age is not clear at the present. The severity of depression has  
377 been associated with increased systemic T1 inflammation (i.e., IL-1 $\beta$ , IL-6, TNF- $\alpha$ ) and  
378 markers of inflammation (i.e., CRP) (90, 91), often seen in patients with a more  
379 “neutrophilic” asthma. In other chronic diseases such as rheumatoid arthritis, coronary  
380 disease, and atherosclerosis, depression increases systemic inflammation (92-95) and  
381 peripheral neutrophilia (96). Although not specifically targeting older patients, in a study  
382 of 24 participants with asthma (mean age 44.45 years), depression was associated with  
383 increased sputum and serum IL-1 $\beta$  and IFN- $\gamma$ , which were inversely associated with  
384 impaired bronchodilator response (97). Lower adherence to ICS in older patients with  
385 asthma was strongly associated depressive symptoms (98).

386

### 387 **Chronic rhinosinusitis and nasal polyposis**

388 Nasal polyposis is typically a disease of adult life. Up to 10% of the population report  
389 nasal polyposis (99) whereas imaging studies identify a prevalence of between 3% and  
390 6.4% when using objective criteria (100). Nasal polyposis is a risk factor for adult-onset  
391 asthma (101), difficult-to-control asthma, asthma exacerbations, and increased mortality  
392 (102). Analyses identify both eosinophilic and noneosinophilic types of nasal polyposis

393 (103, 104), with noneosinophilic forms having a better surgical outcomes (104). Aspirin  
394 sensitivity is also associated with nasal polyposis and asthma, and is termed AERD, with  
395 a prevalence of 9.6%, increasing to 40% in patients with allergic fungal sinusitis (105).  
396 Therapeutic options for chronic rhinosinusitis with nasal polyposis include intranasal  
397 corticosteroid use, systemic corticosteroids, sinonasal surgery (106) and T2-directed  
398 monoclonal antibody therapy such as dupilumab (107).

399

#### 400 **Multiple medications for other co-morbidities**

401 Older people with multiple morbidities may experience adverse effects from medication  
402 interactions (108). In older people with multiple comorbidities and polypharmacy there is  
403 an increased risk of adverse drug effects that relate not only to drug – drug interactions  
404 (eg. statins and macrolides) but also drug-disease interactions (e.g beta blockers in  
405 asthma). In addition to drug interactions other factors related to multiple medications  
406 impact asthma in older individuals. A prospective, multicenter Korean study reported that  
407 fewer medications for comorbidities predicted improved-asthma control in patients with  
408 asthma (OR = 0.863, P = 0.004) (109). Furthermore, the use of more medications to treat  
409 comorbid conditions is associated with an increase in suboptimal treatment adherence  
410 (110).

411

#### 412 **Smoking**

413 Smoking is a particularly important risk-factor for patients with asthma irrespective of age,  
414 but there are some populations more likely to smoke. Smoking prevalence in patients with  
415 asthma overall is similar to that of the general population (approximately 15%) (111, 112).

416 Adults with late-onset asthma have higher smoking rates compared to those with early  
417 onset (113).

418

419 Smoking significantly complicates asthma. It is associated with higher treatment  
420 requirements for ICS with reduced responsiveness (114), accelerated lung function  
421 decline (115), and increased risk of severe attacks (111, 116). Smoking cessation is a  
422 priority and strategies should be personalized to the asthma population taking into  
423 account the age of the patient (112).

424

#### 425 **Cognition and asthma self-management**

426 Asthma self-management, including adherence to controller medications, correct use of  
427 inhaler devices, trigger avoidance, use of asthma action plans, and peak flow monitoring,  
428 are important determinants of asthma control. Unfortunately, studies have consistently  
429 reported that less than half of adult asthma patients are adherent to these key self-  
430 management behaviors and that low adherence is associated with worse disease  
431 outcomes (117, 118). Older adults with asthma face even greater barriers and appear to  
432 have lower rates of adherence to self-management compared to younger individuals  
433 (119).

434

435 Two factors that can negatively impact asthma self-management in older adults are low  
436 health literacy and impaired cognitive function (120, 121). Health literacy is defined as  
437 the ability to gather, process and understand the health information needed to make  
438 health care-related decisions. Low health literacy is more prevalent among adults >65

439 years of age (affecting up to 60% of individuals) and has been associated with lower  
440 adherence to asthma medication as well as worse asthma control and poorer quality of  
441 life (121-123). Similarly, age-related cognitive decline (particularly memory problems and  
442 decreased executive function) is related to decreased ability to self-manage asthma  
443 (124). Difficulties remembering health care provider instructions for self-management,  
444 problems handling complex medication regimens (common among older patients), and  
445 challenges managing acute attacks are some of the barriers to effective self-management  
446 faced by asthma patients with cognitive decline. Moreover, illness beliefs (e.g.,  
447 conceptualizing asthma as an acute illness only present when having symptoms) and  
448 concerns about medications (e.g., ICS cause dependence or are toxic) are strong  
449 predictors of lower adherence to asthma medications and may explain, in part, the  
450 relationship between low health literacy and cognitive problems with self-management  
451 (125, 126). This knowledge has led to the development of effective comprehensive  
452 personalized asthma self-management interventions for older asthmatics targeting these  
453 beliefs and other key barriers to asthma control (127).

454

#### 455 **Inhaler device selection**

456 Inhaled medication is the cornerstone of asthma pharmacotherapy. Unfortunately  
457 suboptimal use of inhaler therapy is frequently observed in people with asthma, and age  
458 significantly compounds this issue. In a group of patients with airway disease (aged 55-  
459 87 years of age), 48.5% had inadequate inhaler technique and 50% were using several  
460 different types of inhalation device, termed inhaler device polypharmacy (43). Older  
461 patients with decreased cognition and health literacy are at particular risk of poor inhaler

462 technique (128, 129). Factors that should be considered when prescribing inhaled  
463 medications include efficacy, safety and proficiency. In addition to adherence, cost and  
464 patient preference the assessment of proficiency should incorporate age related factors  
465 such as peak inspiratory flow rate, manual dexterity, coordination and handling capacity  
466 and comorbidities that affect device use such as cognitive and vision impairment (130,  
467 131). Figure 2 highlights the difficulties faced by older people and presents an algorithm  
468 for device selection.

469

## 470 **DIFFERENCES IN DIAGNOSTIC APPROACH ACROSS ADULTHOOD**

471

### 472 **Asthma symptoms**

473 Many of the asthma symptoms in younger adults (i.e., episodic wheezing, shortness of  
474 breath, cough and chest tightness) are characteristic of those in older adults. However,  
475 in older patients, dyspnea is a common symptom of other disorders including cardiac  
476 disease, anemia or other lung diseases, therefore asthma as an etiology of these  
477 symptoms may be overlooked. Additionally, some older patients may limit their activity to  
478 avoid getting dyspneic. Older patients often have a decreased perception of asthma  
479 symptoms despite significant airway obstruction (132).

480

### 481 **Lung Function testing**

482 There are age-related factors which must be considered when interpreting lung function  
483 measurements. Changes in the structure of the aging lung decrease the FEV<sub>1</sub>/FVC ratio;  
484 age-adjusted values are recommended to avoid over-diagnosis of obstruction (133).

485 Aging increases BHR to methacholine (134), therefore, provocation testing may be over-  
486 interpreted. Although >80% of older persons can achieve ATS acceptable results to  
487 perform spirometry, it may be difficult for those who are frail (135). Poor coordination and  
488 muscle weakness in some patients may produce inaccurate readings of peak expiratory  
489 flow (136).

490

#### 491 **CONCLUSION**

492 Asthma is a common condition which affects individuals across the lifespan. Despite  
493 improvement in outcomes for people with asthma over the last few decades, outcomes  
494 for remain poor, particularly for certain age groups including older people. The impacts of  
495 asthma in adulthood vary according to age, and include pathophysiological and biological  
496 changes, self-management and behavioral traits and increasing prevalence and severity  
497 of comorbidity that are impacted by advancing age. Life events such as pregnancy and  
498 behaviors like smoking are some of the features that affect individuals with asthma during  
499 younger age. Recognizing the needs of individuals with asthma according to their life  
500 stage will enable a more personalized approach patient care and improve patient  
501 outcomes.

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506 **Table 1: Impact of asthma risk factors across adulthood**

	AGE (Yrs)			
	20-40	41-65	66-75	>75
<b>Airway caliber</b>			Age-related airflow limitation confounds diagnosis, Lung aging, Reduced FEV1 response to treatment	Age-related airflow limitation confounds diagnosis, Lung aging, Reduced FEV1 response to treatment
<b>Airway inflammation</b>	T2 asthma is prevalent Neutrophilic asthma ~10%	Late onset eosinophilic asthma develops, Age related increase in airway neutrophils	Inflammaging and reduced corticosteroid response Increase in airway neutrophils	Inflammaging and reduced corticosteroid response Increased airway neutrophils
<b>Triggers</b>	Occupational exposures	Occupational exposures		
<b>Comorbidities</b>	Allergic rhinitis  Obesity	Nasal polyps  Obesity	Comorbidity prevalence increases Obesity	Comorbidity prevalence increases Obesity
<b>Life events</b>	Occupation relevant Pregnancy can worsen asthma Menstrual Cycle and perimenstrual exacerbations	Occupation relevant Menopause: variable effects possible Menstrual Cycle and perimenstrual exacerbations	Retirement+	Social Isolation
<b>Treatment</b>			Reduced response to corticosteroids Medication polypharmacy -drug-drug interactions	Cognitive impairment limits inhaler device choice, and adherence Medication polypharmacy - drug-drug interactions

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<b>Self Management</b>	Adherence	Adherence	Adherence Increased age related factors affecting inhaler technique(Fig 2)	Adherence Increased age related factors affecting inhaler technique(Fig 2) Cognitive impairment
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507 + Retirement is expected to be associated with reduced occupational triggers, and  
508 retirees report reduced perception of asthma triggers (137).

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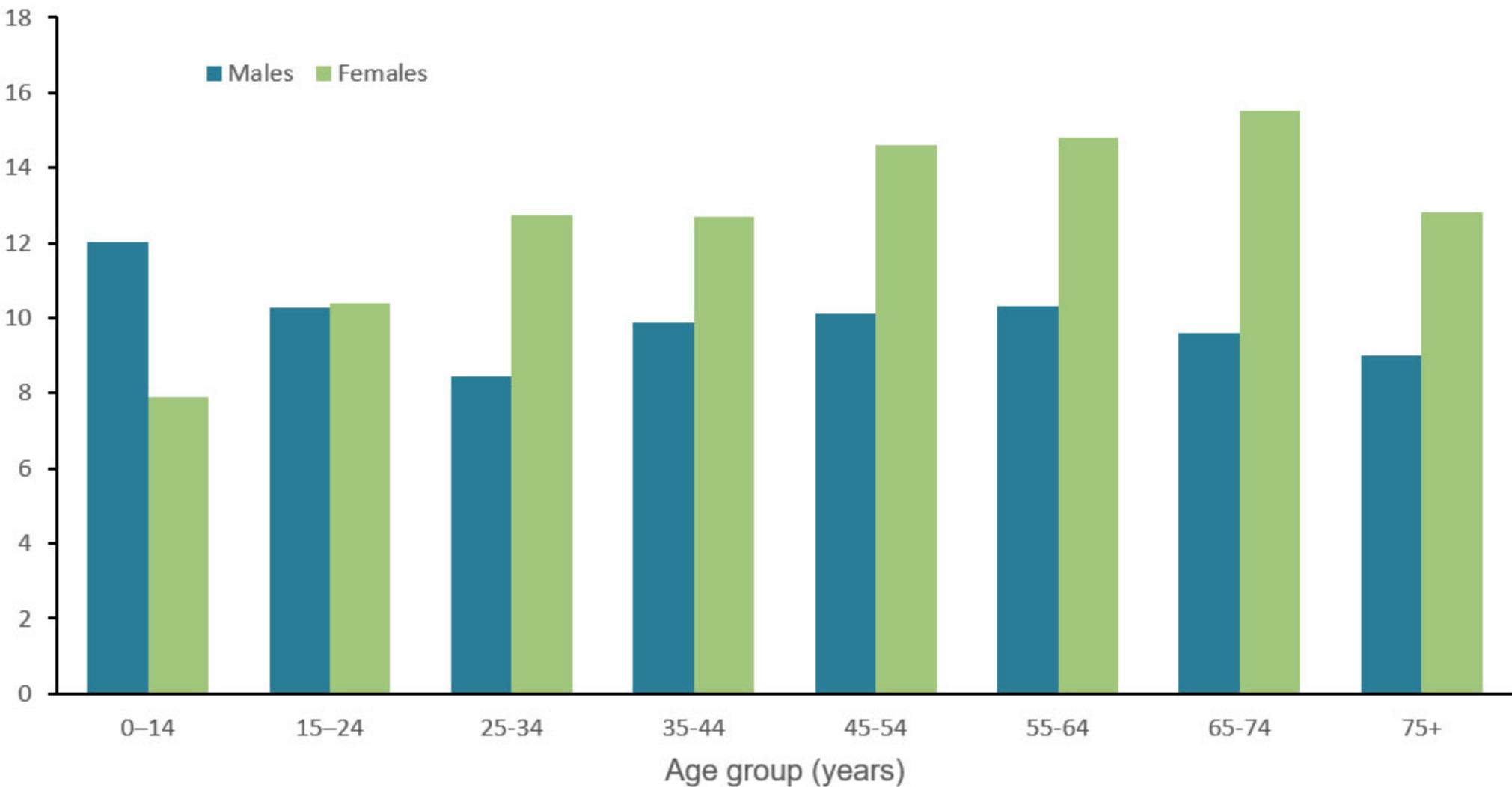
895 **Figure Legends:**

896           **Figure 1:** Prevalence of asthma, by age and sex, 2017–18. Refers to people who  
897 self-reported that they were diagnosed by a doctor or nurse as having asthma (current  
898 and long-term). Source: Australian Institute of Health and Welfare.

899

900           **Figure 2:** Challenges with the use of inhalation therapy in elderly patients with  
901 asthma, and an algorithm for appropriate inhaler device selection. HCP, health care  
902 professional. (Re-used with permission (130)).

Per cent



Difficulties faced by elderly patients

Cognitive impairment and dementia

Worsening hypoxia or hypercapnia from COPD or COPD exacerbations

Neuromuscular conditions (eg, Parkinson's disease or complications after a stroke)

Altered metabolism and increased risk of side effects



Increased number of critical errors

Loss of physical hand and finger muscle strength

Arthritis or joint pain

Comorbidities and complexity of accompanying medication regimens

Proposed algorithm for inhaler selection in the elderly

**1. Patient ability to use device:**  
cognitive function, manual dexterity, hand strength

**2. Medication availability/cost/reimbursement**

**3. Device considerations/patient preference:**  
eg, time required to administer and clean, portability, convenience

**4. Educational session:**  
HCP demonstrates correct technique and assesses patient technique after training; device gets prescribed for a trial period

**5. Therapeutic assessment:**  
review adherence/therapeutic impact; assess technique; shared decision-making regarding changes to therapy (re-assess step 2-5)